

PATENT**(Practitioner's Docket No. IN-5429)****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Ramesh et al.	
Serial No.: This application is a Divisional Application of U.S. Serial No. 09747,472	Group Art Unit: Not Assigned
Filed: July 14, 2003	Examiner: Not Assigned

For: Water-Based Acrylic Emulsion Dispersents Utilized as Grind Resins for Pigments and Method of Preparing the Same.

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

- ☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

COPIES PROVIDED:

- ☐ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except as indicated below:
- ☒ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. 09/747,472 and 10/455,066, filed on December 22, 2000 and June 5, 2003. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:
- ☐ In accordance with 37 C.F.R. §1.98(c), a copy of only [] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

- ☐ Application Serial No. [] was published as Publication No. []. The Bibliographic information for this publication is correct, but the abstract, specification, and claims are not those of []. Therefore, the bibliographic page for Publication No. [] is provided with a copy of the specification as filed for [].

FOREIGN LANGUAGE DOCUMENTS

- ☐ There are no foreign language documents listed on Form PTO-1449.
- ☐ The following non-English language references were cited in the specification. The English language equivalents for these references are being submitted in substitution for the non-English references.
- ☐ English language abstract for the following non-English references are enclosed.
- ☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of portions of those patents, publications or information considered to be material:
- ☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
- ☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:
- ☒ Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.
- ☐ A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:
- ☐ A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification:

CERTIFICATION:

- ☒ The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:

Information Disclosure Statement
Page 3

- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **OR**
- ☐ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$180.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:
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- ☐ Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$130.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation Deposit Account No. 23-3425 for any additional fees due hereunder.

Reg. No.: **33,772**


SIGNATURE OF ATTORNEY and DATE

Anne Gerry Sabourin **July 15, 2003**
Type or print name of attorney

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26701 Telegraph Road
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Southfield, Michigan 48034-2442

Customer No. 26922

PATENT

(Practitioner's Docket No. IN-5429)

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In re Application of: Ramesh et al.	
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Anne Gerry Sabourin **July 15, 2003**
Type or print name of attorney

Tel. No.: (248) 948-2021

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Southfield, Michigan 48034-2442

Customer No. 26922

FORM PTO-1449
(MODIFIED)US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No. IN-5429Div.

LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

In re Application of: RAMESH, et al.

Serial No.: Herewith

Filed: July 15, 2003

U.S. PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,403,709	6/11/02	Ramesh et al.	525	95	12/22/00
	AB	6,566,476	5/20/03	Ohrbom et al.	526	312	10/4/01
	AC	6,346,591	2/12/02	Ohrbom et al.	526	312	5/21/99
	AD	2002/0132921	9/19/02	Ramesh et al.	525	88	8/14/01
	AE	2002/0035289	3/21/02	Walter et al.	560	157	10/4/01
	AF	2002/0086966	7/4/02	Weise et al.	526	312	01/30/02
	AG	2002/0103319	8/1/02	Ohrbom et al.	526	312	01/09/02

FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATED YES NO	
	AH							
	AI							
	AJ							
	AK							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AL	RAMESH, et al, 09/747,473 filed on 12-22-00, entitled ""Water-based coating composition having carbamate-melamine cross-linking, method of preparing the same and a cured film thereof, pages 1-44, and the abstract.						
	AM	OHRBOM, et al, 10/002,807 filed on November 2, 2001, entitled "Water-and organic-soluble carbamate Material, pages 1-16.						
	AN							
	AO							

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant has modified this form by deleting the word "prior".

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	AA	4,774,303	9/27/88	Denzinger et al.	526	212	1/29/87
	AB	5,266,622	11/03/93	Mazanek et al.	524	131	11/2/92
	AC	5,847,065	12/8/98	Krause et al.	527	300	4/4/97
	AD						
	AE						
	AF						
	AG						

FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AH	GB2305929	23.04.97	GB	C09D	17/00	X	
	AI	GB2293373	20.09.95	GB	B01F	17/14	X	
	AJ	EP340583	24.04.89	EP	C09D	17/00		X
	AK	EP718381	09.12.95	EP	C09D	5/44	X	
	AL	EP330246	06.01.89	EP	C08F	8/14	X	
	AM	DE4225922	10.02.94	DE	B01F	17/00		X
	AN	DE3603392	06.08.87	DE	C08F	20/06		X
	AO	WO88/02381	07.04.88	WO	C08F	228/02	X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	International Search Report PCT/US 01/42956 dated 10.11/2001
	AQ	English Abstract for DE4225922
	AR	

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	AA	5,389,139	02/14/95	Carpenter et al	106	404	02/14/94
	AB	5,603,865	02/18/97	DePue et al.	252	313.1	08/17/95
	AC	3,671,502	06/20/72	Samour et al.	260	79.3MU	11/12/70
	AD	5,684,078	11/04/97	Pfaffenschlager et al.	524	457	10/02/92
	AE	5,786,420	07/28/98	Grandhee	525	7	07/26/96
	AF	4,615,813	10/07/86	Bretz	252	8.514	12/23/85
	AG	4,872,916	10/10/89	Latosky	106	503	09/22/88
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

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	AL						YES	NO
	AM							
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	AR	
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